

Attorney Docket No. 042390P12154

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
Michael J. Payne, et al. )  
 )  
Application No.: 09/965,230 )  
 )  
Filing Date: September 25, 2001 )  
 )  
For: COLOR AS A VISUAL CUE IN )  
SPEECH-ENABLED )  
APPLICATIONS )

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**RECEIVED**

FEB 20 2002

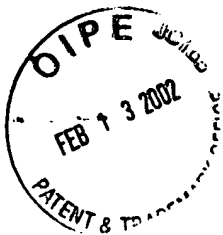
OFFICE OF PETITIONS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted)  
mailed November 6, 2001, please find enclosed:

- (1) a Petition under 37 C.F.R. §1.47, with Declaration of Mark S. Peloquin  
with exhibits, Declaration of Monique M. Pearson with exhibits; and a check in the  
amount of \$130.00 in accordance with 37 C.F.R. §1.17(h);
- (3) a check in the amount of \$130.00 in payment of the surcharge of  
37 C.F.R. §1.16(e);
- (4) a copy of the Notice to File Missing parts of Application;



(5) a Petition for a one (1) month extension of time under 37 C.F.R. § 1.136(a);

and

(6) a check in the amount of \$110.00 in payment of the extension of time fee under 37 C.F.R. §1.17(a)(4).

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/31/2002

Mark S. Peloquin  
Mark S. Peloquin  
Reg. No. P-50,787

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(206) 292-8600

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**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on January 31, 2002  
Date of Deposit

Paige A. Johnson

Name of Person Mailing Correspondence

Paige A. Johnson  
Signature

1-31-02  
Date



DIPE  
FEB 13 2002  
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/965,230         | 09/25/2001          | Michael J. Payne      | 42390P12154            |

CONFIRMATION NO. 7879

FORMALITIES LETTER



\*OC000000007032446\*

James H. Salter  
Blakely, Sokoloff, Taylor & Zafman LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1030

Date Mailed: 11/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

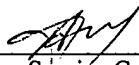
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Rohan Coelho, Maher M. Hawash*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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02/19/2002 SLUANG1 00000006 09965230

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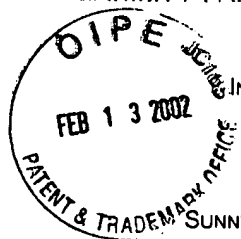
# BLAKELY SOKOLOFF TAYLOR & ZAFMAN

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DENVER/ENGLEWOOD, CO

**CONFIDENTIAL**  
**ATTORNEY-CLIENT PRIVILEGED**  
**August 30, 2001**

Mr. Maher Hawash  
2642 NE Aurora Drive  
Hillsboro, OR 97124

**Re: UNITED STATES PATENT APPLICATION FOR  
"SPEAKER INDEPENDENT VOICE RECOGNITION (SIVR) USING  
DYNAMIC ASSIGNMENT OF SPEECH CONTEXTS, DYNAMIC  
BIASING, AND MULTI-PASS PARSING" and "COLOR VISUAL  
CUE IN SPEECH-ENABLED APPLICATIONS"**

**Our Ref: 42390P11780 AND 42390P12154**

Dear Mr. Hawash:

Enclosed with this letter is a first draft of the above-referenced patent application, including the drawings. Please correct and/or add missing information to the "INVENTOR INFORMATION" section of the first page titled "PATENT APPLICATION INITIAL INFORMATION DATA SHEET". Please review the draft to ensure that it includes a complete and accurate description of your invention. Any corrections or additions may be noted directly on the draft itself or on a separate sheet of paper.

These patent applications are scheduled to be filed in the third quarter of 2001, which means before September 30. We have had difficulty getting these materials to you and time has been lost. Please help us comply with our filing deadlines by reviewing the drafts and returning them to us by FEDEX in the envelope provided.

**BLAKELY SOKOLOFF TAYLOR & ZAFMAN**

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Mr. Maher Hawash  
August 30, 2001  
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After we receive your comments/edits we will incorporate them into the application. Then we will send you a copy of the final drafts and the following formal documents for each application to execute:

- 1) Declaration and Power of Attorney for Patent Application
- 2) Assignment

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FEB 20 2002

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At that point we will request that you execute and return them in their entirety to us in a FEDEX envelope that will be provided.

During your review, please bear in mind that the application must disclose your preferred way of carrying out the invention. If special preferred materials or configurations were not mentioned or described, please bring them to our attention.

It is our understanding that the invention has not been patented or described in a printed publication in this or a foreign country, or in public use or on sale in this country, more than one year prior to the date that we intend to file this application. If you believe otherwise, please inform us as soon as possible.

In closing, please remember your continuing duty to disclose to the Patent and Trademark Office any information you are aware of that is material to the issuance of your patent application. A search of the prior art is not required, but if you know of any printed publications bearing on your invention, such as articles, prior patent applications, etc., please bring them to our attention. The duty to disclose prior art materials is called a continuing duty because it lasts throughout the entire prosecution of your patent application.

Mr. Maher Hawash  
August 30, 2001  
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If we may be of further assistance, please call. We look forward to receiving your response in due course.

Best Regards,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

*Mark S. Peloquin, Esq.*

Mark S. Peloquin, Esq.

MSP/mmp

Encl: Patent Application with Drawings